The Space between Pre-Registration and Publication: Deviation Documentation

Issues:

* How to set-up a pre-registration to be evaluated by the research team, and others, after data is collected
* How and where to communicate deviations from the pre-registration?
* What to report?
  + Study design: were changes made to the study design during the research process?
  + Data analyses: were changes made to the planned analyses?
  + Additional analyses: were new analyses conducted during the research process?
* **Suggestion:** create reporting table in the pre-registration document that can be updated throughout the research process
* Perhaps add relevant questions to the Transparency Checklist (see the Shiny App linked in the “relevant resources” section)

Relevant Resources

* Developing a lab culture for handling making mistakes in the lab: <https://psyarxiv.com/gxcy5>
  + AE: My thinking about the above was that making a deviation from a pre-registration is not a “mistake” per se, but more about taking inspiration from Jeff’s paper about how to plan for deviations and make robust technology for adjusting to and documenting them.
* The replication recipe has some items regarding deviations, original paper versus replications. Might be able to adjust things.
* Relevant OSF page re Pre-registration: <https://osf.io/4acje/>

Improvements on pre-registration templates:

1. Moving from free-text to components that can be clearly evaluated.
2. Adding evaluation tables in the pre-registration to make it easier to evaluate later.
   1. Promotes thinking in advance about any deviations that may occur or be required

Potential Table for Documenting Deviations

* Summary table at end of pre-registration document (?)
* Columns?
  + Essentially, what was planned?
    - Specific plans (e.g., confirmatory hypothesis); open plans (e.g., run an EFA with no specific expectations for the outcome)
      * Was the plan followed? Yes, no, yes but some changes made
  + What was not planned but done?

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| --- | --- | --- | --- | --- | --- | --- |
| **Components** | **Location of the preregistered decision/plan** | **Location of the rationale for the decision/plan (if any)** | **Were there deviations? (no, minor,  major)** | **If yes - describe the deviation(s) or link to location in manuscript/supplementary** | **Rationale for deviation or link to location in manuscript/supplementary** | **How might the results be different if you had not deviated** |
| 01\_Data Import |  |  | Minor | Imported data for each wave | Else data import would not have worked for real data | No difference |
| 01\_Data Import |  |  | Minor | Removed first check for Rows and CASEID | Was repeated after connection of whole dataframe, thus unnecessary repetition | No difference |
| 02\_Datawrangling  ... |  |  | Minor | Changed name of df according to 01\_Data Import | Code would not work else | No difference |
| 02\_Datawrangling |  |  | Minor | Added: table(cccu\_complete1$EC\_recode)  crosstabs( ~ is.na(EC) + WAVE, data = cccu\_complete1)#item is missing at t1 | To look for high number of NA | No differnce |
| 02\_Datawrangling |  |  | Minor | Added:  crosstabs( ~ variable + WAVE, data = cccu\_complete1) | To look if proportions are the same | No differnce |
| 02\_Datawrangling |  |  | Minor | Selected furhter necessary variables to describe sample: HHINC, REGION,  STATE, EMPSTAT,  RACETH, MARSTAT | to describe sample | No difference |
| 02\_Datawrangling |  |  | Minor | Recoded HHINC, REGION,  STATE, EMPSTAT,  RACETH | To describe sample |  |
| 02\_Datawrangling |  |  | Minor | Recoded sex\_freq, NKIDSt1, degree | To combine categories within variables | No difference |
| ...03\_Exclusion |  |  | Minor | Changed name of df according to 01\_Data Import | Code would not work else | No difference |
| 03\_Exclusion |  |  | Minor | Changed recoding of cccu\_complete1$exclusion\_R3 | Code was wrong | No difference |
| 03\_Exclusion |  |  | Minor | Added code to see number of participants after each exclusion step | To describe exclusion | No difference |
| 05\_Descriptives0 |  |  | Minor | Described Participants at t1, compared included vs excluded sample, described variables at t1 and made correlation matrix | To describe sample and variables | No difference |
| 06\_MainAnalysis |  |  | Minor | Changed name of df according to 03\_Exclusion |  |  |
| 06\_MainAnalysis |  |  | Minor | Added optimizer to models | For model convergence | No difference |
| 02\_Datawrangling |  |  | Minor | Defined factor levels for sex\_freq and hc, Trimmed variables | So that models would converge | No difference |
| 07\_Subanalysis |  |  | Minor | Changed name of df according to 03\_Exclusion |  |  |
| 08\_Robustnessanalyses |  |  | Minor | Changed name of df according to 03\_Exclusion |  |  |
|  |  |  |  |  |  |  |

**Locations should include page number and paragraph or line number (as specific as possible)**

Do you think it affects validity of statistical inference - are you less sure about the result because you had to deviate?  (Note: self-report/introspection is only worth so much, but still good to know what author thinks)

Prioritizing the things that are most important? (if such a thing is possible)